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UTILITY**PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 2003 P 53738 US**First Inventor** Kim, et al.**Title** MIM Capacitor Structure and Method of Fabrication**Express Mail Label No.** EV 396902020 US**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

USPTO
10/72043
03/017
112403**ADDRESS TO:**
Commissioner for Patents
Mail Stop Patent Application
P.O. Box 1450
Alexandria, VA 22313-14501. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)2. Applicant claims small entity status.
See 37 CFR 1.27.3. Specification [Total Pages 34]

(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. Drawing(s) (35 U.S.C. 113) [Total Sheets 5]

5. Oath or Declaration [Total Sheets 3]

a. Newly executed (original or copy)b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)i. **DELETION OF INVENTOR(S)**Signed statement attached deleting inventor(s)
name in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).6. Application Data Sheet. See 37 CFR 1.767. CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)a. Computer Readable Form (CRF)b. Specification Sequence Listing on:

- i. CD-ROM or CD-R (2 copies); or
- ii. paper

c. Statements verifying identity of above copies**ACCOMPANYING APPLICATION PARTS**9. Assignment Papers (cover sheet & document(s))10. 37 CFR 3.73(b) Statement Power of
(when there is an assignee) Attorney11. English Translation Document (if applicable)12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS
Citations13. Preliminary Amendment14. Return Receipt Postcards (2) (MPEP 503)
(Should be specifically itemized)15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)16. Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.17. Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No.: _____

Art Unit: _____

Prior application information: Examiner _____ For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS Customer Number

25962

OR

 Correspondence address below

Name Slater & Matsil, L.L.P.

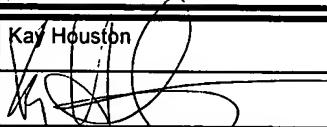
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Name (Print/type) Kay Houston Registration No. (Attorney/Agent) 38,495

Signature  Date November 24, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1,256.00)

Complete if Known

Application Number	TBD
Filing Date	HEREWITH
First Named Inventor	Kim, et al.
Examiner Name	TBD
Art Unit	TBD

Attorney Docket No. 2003 P 53738 US

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other None Deposit Account

50-1065

Deposit Account Number
Deposit Account Name

Slater & Matsil, L.L.P.

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	Fee (\$)	Fee (\$)
1001	750	2001	375
1002	330	2002	165
1003	520	2003	260
1004	750	2004	375
1005	160	2005	80
			770.00

SUBTOTAL (1) (\$ 770.00)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims	Fee from below	Fee Paid
Total Claims 40 -20**= 20	X 18 =	360.00
Independent Claims 4 -3**= 1 X 86 =		86.00

Multiple Dependent

Large Entity	Small Entity	Fee Description
Fee Code	Fee Code	Fee (\$)
1202	18	2202
1201	84	2201
1203	280	2203
1204	84	2204
1205	18	2205
		9
		Claims in excess of 20
		Independent claims in excess of 3
		Multiple dependent claim, if not paid
		**Reissue independent claims over original patent
		**Reissue claims in excess of 20 and over original patent
		SUBTOTAL (2) (\$ 446.00)

** or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	Fee (\$)	Fee (\$)
1051	130	2051	65
1052	50	2052	25
1053	130	1053	130
1812	2,520	1812	2,520
1804	920*	1804	920*
1805	1,840*	1805	1,840*
1251	110	2251	55
1252	410	2252	205
1253	930	2253	465
1254	1,450	2254	725
1255	1,970	2255	985
1401	320	2401	160
1402	320	2402	160
1403	280	2403	140
1451	1,510	1451	1,510
1452	110	2452	55
1453	1,300	2453	650
1501	1,300	2501	650
1502	470	2502	235
1503	630	2503	315
1460	130	1460	130
1807	50	1807	50
1806	180	1806	180
8021	40	8021	40
1809	750	2809	375
1810	750	2810	375
1801	750	2801	375
1802	900	1802	900

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 40.00)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Kay Houston	Registration No. (Attorney/Agent)	38,495	Telephone	972-732-1001
Signature				Date	November 24, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Kim, *et al.* Docket No.: 2003 P 53738 US
Serial No.: TBD Art Unit: TBD
Filed: HEREWITH Examiner: TBD
For: MIM Capacitor Structure and Method of Fabrication

Certificate of Mailing via Express Mail

EXPRESS MAIL mailing label number: EV 396902020 US

Date of Deposit: November 24, 2003

I hereby certify that the following documents, which are enclosed, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above in an envelope addressed to: Mail Stop: Patent Application, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450:

Certificate of Mailing via Express Mail (1 page)
Utility Patent Application Transmittal (1 page)
Fee Transmittal (1 original and 1 copy = 2 pages)
Complete Copy of Patent Application (39 pages), to include:
 Specification (34 pages)
 Drawing sheets (5 pages)
Declaration and Power of Attorney (3 pages)
Assignment Recordation Cover Sheet (1 page)
Copy of Original Signed Assignment(s) (2 pages)
Information Disclosure Statement (1 page)
Form PTO/SB/08A (1 page)
Return Postcards (2)

Respectfully submitted,



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Legal Assistant

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